

COMPLIANCE REPORT ON SHAREHOLDING PATTERN

Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity

Kothari Petrochemicals Limited

2. Scrip Code/Name of Scrip/Class of Security

KOTHARIPET / EQUITY

3. Share Holding Pattern Filed under

Regulation 31(1)(b)

4. Quarter ended

March 31, 2018

5. Declaration: The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?		√
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?		$\sqrt{}$
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	$\sqrt{}$
(iv)	Whether the Listed Entity has any shares in locked-in?	-	\checkmark
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	re-	V





6. The tabular format for disclosure of holding of specified securities is as follows:-

<u>Table I</u>: Summary Statement holding of specified securities

	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	fully paid up equity shares held	fully paid up equity shares held	No. of Partly paid-up equity shares held (V)	No. of shares under lying Deposit ory Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)		class of	g Rights held i securities IX)	in each	No. of shares Underlying Out standing convertible securities (including Warrants)	conversion of convertible securities(as a % of diluted share capital)	Nur Loc sł	nber of eked in nares XII)	Sha pledg othe encun	ber of ares ged or rwise nbered III)	Number of equity shares held in dematerializ ed form (XIV)
								No. of	Voting	Voting Rights				No.	As a % of total shares held	No.	As a % of total Shares held			
								Class Equity	class Y	Total										
(A)	Promoter & Promoter Group	3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	70.97	0	0	0	0	4,17,62,312		
(B)	Public	12,180	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	29.03	0	0	0	0	1,48,55,598		
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total	12,183	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	0	0	0	0	5,66,17,910		





<u>Table II</u>: Statement showing shareholding pattern of the **Promoter and Promoter Group**

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	fully paid up equity shares held	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number	class of	g Rights held f securities (IX)	in each	No. of Shares Under lying Out standing convertible securities (including Warrants) (X)	Share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Nu Lo	umber of ocked in shares (XII)	Share or of encu	nber of s pledged herwise mbered KIII)	Number of equity shares held in demateri alized form (XIV)
									No. of Voti		Voting Rights				No.	as a % of total share held	No. (a)	as a % of total share held		
									Class Equity	Class y	Total									
(1)	Indian																Ų.			
(a)	Individuals/ Hindu undivided Family		1	2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500	
	Names																			
	Nina Bhadrashyam Kothari			2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500	
(b)	Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	any other																			
	Bodies Corporate		2	4,14,64,812	0	0	4,14,64,812	70.46	4,14,64,812	0	4,14,64,812	70.46	0	70.46	0	0	0	0	4,14,64,812	
	Kothari Sugars and Chemicals Ltd			1,20,19,000	0	0	1,20,19,000	20.42	1,20,19,000	0	1,20,19,000	20.42	0	20.42	0	0	0	0	1,20,19,000	
	BHK Trading LLP			2,94,45,812	0		2,94,45,812				2,94,45,812	50.04	0	50.04	0	0	0		2,94,45,812	
	Sub-Total (A)(1)		3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4.17.62.312	70.97	0	70.97	0	0	0	0	4,17,62,312	





(2)	Foreign																	
(a)	Individuals(NRI/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	70.97	0	0	0	0	4,17,62,312

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.





Table III: Statement showing shareholding pattern of the Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of share holde rs (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share under lying Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		lass of	; Rights held i securities IX)	in each	No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Lo	imber of ocked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerial zed form (XIV)
									No of V	oting F	Rights	Total as a % of (A+B +C)			No.	as a % of total share held	as a % No of total shares held	
									Class Equity	Class	total						,	
(1)	Institutions																	
(a)	Mutual Funds/		3	51,500	0	0	51,500	0.09	51,500	0	51,500	0.09	0	0.09	0		NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e)	Foreign Portfolio Investors		1	3,02,126	0	0	3,02,126	0.51	3,02,126	0	3,02,126	0.51	0	0.51	0	0	NA	3,02,126
(f)	Financial Institutions/ Banks		1	500	0	0	500	0	500	0	500	0	0	0	0	0	NA	500
(g)	Insurance Companies		1	14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	NA	14,56,931
	Life Insurance Corporation of India			14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	NA	14,56,931
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(i)	any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0		NA	0
	Sub-Total (B)(1)		7	18,51,557	0	0	18,51,557	3.15	18,51,557	0	18,51,557	3.15	0	3.15	0	0		17,59,557
2	Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0





(0)	IN TOTAL			_		1.								т т			
(3)	Non-institutions					5											
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	11,603	79,20,769	0	0	79,20,769	13.46	79,20,769	0	79,20,769	13.46	0	13.46	0	0	NA	59,24,780
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	38	12,98,000	0	0	12,98,000	2.21	12,98,000	0	12,98,000	2.21	0	2.21	0	0	NA	12,72,800
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	any other	532	60,13,762	0	0	60,13,762	10.21	60,13,762	0	60,13,762	10.21	0	10.21	0	0	NA	58,98,461
(e)	Bodies Corporate	200	49,61,514	0	0	49,61,514	8.43	49,61,514	0	49,61,514	8.43	0	8.43	0	0	NA	48,53,914
	Clearing Members	22	3,08,589	0	0	3,08,589	0.52	3,08,589	0	3,08,589	0.52	0	0.52	0	0	NA	3,08,589
	Directors & Relatives	2	200	0	0	200	0.00	200	0	200	0	0	0	0	0	NA	100
	Hindu Undivided Family	227	4,13,555	0	0	4,13,555	0.70	4,13,555	0	4,13,555	0.70	0	0.70	0	- 0	NA	4,13,554
	Non Residents Indians	81	3,29,904	0	0	3,29,904	0.56	3,29,904	0	3,29,904	0,56	0	0.56	0	0	NA	3,22,304
	Sub-Total (B)(3)	12,173	1,52,32,531	0	0	1,52,32,531	25.88	1,52,32,531	0	1,52,32,531	25.88	0	25.88	0	0	NA	1,30,96,041
	Total Public Shareholding (B)=(B)(1)+(B)(2)+ (B)(3)	12,180	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	29.03	0	0	NA	1,48,55,598





<u>Table IV</u>: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category of shareholder (I)	PA N (II)	Nos. of shar ehol ders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlyin g Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	ing as a total no. chares held in each class of securities (IX) % of				No. of Shares Underlyin Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateri alized form (XIV)
									No of	Voting l	Rights	Total as a % of (A+B +C)			No.	as a % of total Share held	N o.	as a % of total shares held	
									class X	class	total								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name of DR Holder (if available)																NA		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name(xyz)																		
	Total Non-Promoter- Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0

