

COMPLIANCE REPORT ON SHAREHOLDING PATTERN
Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : **Kothari Petrochemicals Limited**
2. Scrip Code/Name of Scrip/Class of Security : **KOTHARIPET / EQUITY**
3. Share Holding Pattern Filed under : **Regulation 31(1)(b)**
4. Quarter ended : **September 30, 2019**

5. **Declaration:** The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	√
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√
(iv)	Whether the Listed Entity has any shares in locked-in?	-	√
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√
(vi)	Whether the listed entity has any significant beneficial owner?	√	-



6. The tabular format for disclosure of holding of specified securities is as follows:-

Table I: Summary Statement holding of specified securities

	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Out standing convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No. of Voting Rights			Total as a % of (A+B+ C)		No.	As a % of total shares held	No.	As a % of total Shares held		
								Class Equity	class Y	Total								
(A)	Promoter & Promoter Group	5	4,17,62,512	0	0	4,17,62,512	70.97	4,17,62,512	0	4,17,62,512	70.97	0	70.97	0	0	0	0	4,17,62,512
(B)	Public	10,356	1,70,83,888	0	0	1,70,83,888	29.03	1,70,83,888	0	1,70,83,888	29.03	0	29.03	0	0	0	0	1,55,26,852
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	10,361	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	0	0	0	0	5,72,89,364

Table II: Statement showing shareholding pattern of the Promoter and Promoter Group

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Under lying Out standing convertible securities (including Warrants) (X)	Share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateri alized form (XIV)
									No. of Voting Rights			total as a % of total Voting rights			No.	as a % of total share held	No. (a)	as a % of total share held	
									Class Equity	Class y	Total								
(1)	Indian																		
(a)	Individuals/ Hindu undivided Family		3	62,57,700	0	0	62,57,700	10.63	62,57,700	0	62,57,700	10.63	0	10.63	0	0	0	0	62,57,700
	Names																		
	Nina Bhadrashyam Kothari			62,57,500	0	0	62,57,500	10.63	62,57,500	0	62,57,500	10.63	0	10.63	0	0	0	0	62,57,500
	Arjun B Kothari			100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0	0	0	100
	Nayantara B Kothari			100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0	0	0	100
(b)	Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	any other																		
	Bodies Corporate		2	3,55,04,812	0	0	3,55,04,812	60.33	3,55,04,812	0	3,55,04,812	60.33	0	60.33	0	0	0	0	3,55,04,812
	Kothari Sugars and Chemicals Ltd			60,59,000	0	0	60,59,000	10.30	60,59,000	0	60,59,000	10.30	0	10.30	0	0	0	0	60,59,000
	BHK Trading LLP			2,94,45,812	0	0	2,94,45,812	50.04	2,94,45,812	0	2,94,45,812	50.04	0	50.04	0	0	0	0	2,94,45,812
	Sub-Total (A)(1)		5	4,17,62,512	0	0	4,17,62,512	70.97	4,17,62,512	0	4,17,62,512	70.97	0	70.97	0	0	0	0	4,17,62,512

(2)	Foreign																		
(a)	Individuals(NRI/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	4,17,62,512	0	0	4,17,62,512	70.97	4,17,62,512	0	4,17,62,512	70.97	0	70.97	0	0	0	0	4,17,62,512

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



Table III: Statement showing shareholding pattern of the Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights		Total as a % of (A+B+C)			No.	as a % of total share held	No	as a % of total shares held	
									Class Equity	Class y	total							
(1)	Institutions																	
(a)	Mutual Funds/		1	49,400	0	0	49,400	0.08	49,400	0	49,400	0.08	0	0.08	0	0	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(f)	Financial Institutions/ Banks		1	2,000	0	0	2,000	0.00	2,000	0	2,000	0.00	0	0.00	0	0	NA	2,000
(g)	Insurance Companies		1	14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	NA	14,56,931
	Life Insurance Corporation of India			14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0	NA	14,56,931
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(i)	any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0	NA	0
	Sub-Total (B)(1)		4	15,48,831	0	0	15,48,831	2.63	15,48,831	0	15,48,831	2.63	0	2.63	0	0		14,58,931
2	Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0



KOTHARI PETROCHEMICALS LIMITED (KPL)

(3)	Non-institutions																	
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	9,848	69,91,097	0	0	69,91,097	11.88	69,91,097	0	69,91,097	11.88	0	11.88	0	0	NA	56,49,562	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	42	15,88,481	0	0	15,88,481	2.70	15,88,481	0	15,88,481	2.70	0	2.70	0	0	NA	15,63,281	
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	any other	462	69,55,479	0	0	69,55,479	11.82	69,55,479	0	69,55,479	11.82	0	11.82	0	0	NA	68,55,078	
	Bodies Corporate	140	55,59,577	0	0	55,59,577	9.45	55,59,577	0	55,59,577	9.45	0	9.45	0	0	NA	54,66,177	
	Clearing Members	13	13,786	0	0	13,786	0.02	13,786	0	13,786	0.02	0	0.02	0	0	NA	13,786	
	Directors & Relatives	2	4,200	0	0	4,200	0.00	4,200	0	4,200	0	0	0	0	0	NA	4,200	
	Hindu Undivided Family	234	4,91,585	0	0	4,91,585	0.84	4,91,585	0	4,91,585	0.84	0	0.84	0	0	NA	4,91,584	
	IEPF	1	5,52,749	0	0	5,52,749	0.94	5,52,749	0	5,52,749	0.94	0	0.94	0	0	NA	5,52,749	
	Non Residents Indians	71	3,32,582	0	0	3,32,582	0.56	3,32,582	0	3,32,582	0.56	0	0.56	0	0	NA	3,25,582	
	Trust	1	1,000	0	0	1,000	0.00	1,000	0	1,000	0.00	0	0.00	0	0	NA	1,000	
	Sub-Total (B)(3)	10,352	1,55,35,057	0	0	1,55,35,057	26.40	1,55,35,057	0	1,55,35,057	26.40	0	26.40	0	0	NA	1,40,67,921	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	10,356	1,70,83,888	0	0	1,70,83,888	29.03	1,70,83,888	0	1,70,83,888	29.03	0	29.03	0	0	NA	1,55,26,852	



Table IV: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category of shareholder (I)	PA N (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlyin g Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlyin Outstanding convertible securities (including Warrants) (X)	Total share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateri alized form (XIV)
									No of Voting Rights			Total as a % of (A+B +C)			No.	as a % of total Share held	N o.	as a % of total shares held	
									class X	class Y	total								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name of DR Holder (if available)																NA		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name(xyz)																		
	Total Non-Promoter- Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0



Table V- Statement showing details of significant beneficial owners

Sl.No.	Details of the significant beneficial owner			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*		Date of creation / acquisition of significant beneficial interest#
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of		
1	Mrs. Nina B. Kothari		INDIAN	BHK Trading LLP		Incorporated in India	Shares	50.04%	07.08.2015
							Voting Rights	50.04%	
							Rights on distributable dividend or any other distribution	50.04%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
				Kothari Sugars and Chemicals Limited		Incorporated in India	Shares	10.30%	22.12.2005
							Voting Rights	10.30%	
							Rights on distributable dividend or any other distribution	10.30%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
				Nina B.Kothari		Indian	Shares	10.63%	22.12.2005
							Voting Rights	10.63%	
							Rights on distributable dividend or any other distribution	10.63%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	



2	Mr. Arjun B. Kothari		INDIAN	BHK Trading LLP	Incorporated in India	Shares	50.04%	07.08.2015
						Voting Rights	50.04%	
						Rights on distributable dividend or any other distribution	50.04%	
						Exercise of control	Yes	
						Exercise of significant influence	Yes	
				Kothari Sugars and Chemicals Limited	Incorporated in India	Shares	10.30%	10.04.2015
						Voting Rights	10.30%	
						Rights on distributable dividend or any other distribution	10.30%	
						Exercise of control	Yes	
						Exercise of significant influence	Yes	
				Arjun B.Kothari	Indian	Shares	0.00%	10.04.2015
						Voting Rights	0.00%	
						Rights on distributable dividend or any other distribution	0.00%	
						Exercise of control	Yes	
						Exercise of significant influence	Yes	

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

