

COMPLIANCE REPORT ON SHAREHOLDING PATTERN

Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : Kothari Petrochemicals Limited

2. Scrip Code/Name of Scrip/Class of Security : KOTHARIPET / EQUITY

3. Share Holding Pattern Filed under Regulation 31(1)(b)

4. Quarter ended : June 30, 2018

5. Declaration: The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	\checkmark
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?		√
(iv)	Whether the Listed Entity has any shares in locked-in?	==	V
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	45	√





6. The tabular format for disclosure of holding of specified securities is as follows:-

<u>Table I</u>: Summary Statement holding of specified securities

	Category of sharcholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Deposit ory Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)		class of	g Rights held i securities IX)	n each	No. of shares Underlying Out standing convertible securities (including Warrants)	conversion of convertible securities(as a % of diluted share capital)	Loc sh (nber of eked in nares XII)	Sh: pledg othe encun	ber of ares ged or rwise nbered III)	Number of equity shares held in dematerializ ed form (XIV)
								No. of	`Voting	Rights	Total as a % of (A+B+ C)			No.	As a % of total shares held	No.	As a % of total Shares held	
								Class Equity	class Y	Total								
(A)	Promoter & Promoter Group	3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70,97	0	70.97	0	0	0	0	4,17,62,312
(B)	Public	11,941	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	29.03	0	0	0	0	1,48,64,848
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	11,944	5,88,46,400	0	0	5,88,46,400	100	5,88,46,400	0	5,88,46,400	100	0	100	0	0	0	0	5,66,27,160



Table II:

Statement showing shareholding pattern of the Promoter and Promoter Group

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares under lying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculate d as per SCRR, 1957) (VIII) as a % of (A+B+C2)		class o	g Rights held f securities (IX)	in each	No. of Shares Under lying Out standing convertible securities (including Warrants) (X)	Share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Ni Le	umber of ocked in shares (XII)	Share or of encu	nber of s pledged therwise imbered XIII)	Number of equity shares held in demateri alized form (XIV)
										Voting	Rights	total as a % of total Voting rights		-72	No.	as a % of total share held	No. (a)	as a % of total share held	
									Class Equity	Class y	Total								
(1)	Indian																		
(a)	Individuals/ Hindu undivided Family		1	2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500
	Names																		
	Nina Bhadrashyam Kothari			2,97,500	0	0	2,97,500	0.51	2,97,500	0	2,97,500	0.51	0	0.51	0	0	0	0	2,97,500
(b)	Central / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	any other																		
	Bodies Corporate		2	4,14,64,812	0	0	4,14,64,812	70.46	4,14,64,812	_ 0	4,14,64,812	70.46	0	70.46	0	0	0	0	4,14,64,812
	Kothari Sugars and Chemicals Ltd			1,20,19,000	0	0	1,20,19,000	20.42	1,20,19,000	0	1,20,19,000	20.42	0	20.42	0	0	0	0	1,20,19,000
	BHK Trading LLP			2,94,45,812	0	0	2,94,45,812		2,94,45,812	0	2,94,45,812	50.04	0	50.04	0	0	0	0	2,94,45,812
	Sub-Total (A)(1)		3	4,17,62,312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	70.97	0	0	0	0	4,17,62,312





(2)	Foreign																		
(a)	Individuals(NRI/ Foreign Individuals)	(0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	()	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2))	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	;	3 4,17,62,	312	0	0	4,17,62,312	70.97	4,17,62,312	0	4,17,62,312	70.97	0	70.97	0	0	0	0	4,17,62,312

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.





<u>Table III</u>: Statement showing shareholding pattern of the Public shareholder

	Category of shareholder (I)	PAN (II)	Nos. of share holde rs (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of share under lying Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	NO. IN	lass of	g Rights held securities IX)		No. of Shares Underlying Out standing convertible securities (including Warrants)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Nu Le	imber of ocked in shares (XII)	St pled oth encu	aber of nares ged or erwise mbered (III)	Number of equity shares held in dematerial zed form (XIV)
									No of V	oting F	Rights	Total as a % of (A+B +C)			No.	as a % of total share held	No	as a % of total shares held	
									Class Equity	Class	total			-					
(1)	Institutions								Equity	2									
(a)	Mutual Funds/		3	51,500	0	0	51,500	0.09	51,500	0	51,500	0.09	0	0.09	0	0		NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(f)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(g)	Insurance Companies		1	14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2,48	0	2.48	0	0		NA	14,56,931
	Life Insurance Corporation of India			14,56,931	0	0	14,56,931	2.48	14,56,931	0	14,56,931	2.48	0	2.48	0	0		NA	14,56,931
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	Ō	0	0	0	0	0	0	0		NA	0
(i)	any other : Foreign Institutional Investor		1	40,500	0	0	40,500	0.07	40,500	0	40,500	0.07	0	0.07	0	0		NA	0
	Sub-Total (B)(1)		5	15,48,931	0	0	15,48,931	2.63	15,48,931	0	15,48,931	2.63	0	2.63	0	0			14,56,931
2	Central/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0





(3)	Non-institutions																
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	11,354	76,05,515	0	0	76,05,515	12.92	76,05,515	0	76,05,515	12.92	0	12.92	0	0	NA	56,18,776
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	39	14,06,782	0	0	14,06,782	2.39	14,06,782	0	14,06,782	2.39	0	2.39	0	0	NA	13,81,582
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
7-5	any other	543	65,22,860	0	0	65,22,860	11.08	65,22,860	0	65,22,860	11.08	0	11.08	0	0	NA	64,07,559
(e)	Bodies Corporate	205	57,15,780	0	0	57,15,780	9.71	57,15,780	0	57,15,780	9.71	0	9.71	0	0	NA	56,08,180
	Clearing Members	19	16,814	0	0	16,814	0.03	16,814	0	16,814	0.03	0	0.03	0	0	NA	16,814
	Directors & Relatives	3	4,200	0	0	4,200	0.00	4,200	0	4,200	0	0	0	0	0	NA	4,100
	Hindu Undivided Family	238	4,70,616	0	0	4,70,616	0.80	4,70,616	0	4,70,616	0.80	0	0.80	0	0	NA	4,70,615
	Non Residents Indians	78	3,15,450	0	0	3,15,450	0.54	3,15,450	0	3,15,450	0.54	0	0.54	0	0	NA	3,07,850
	Sub-Total (B)(3)	11,936	1,55,35,157	0	0	1,55,35,157	26.40	1,55,35,157	0	1,55,35,157	26.40	0	26.40	0	0	NA	1,34,07,917
	Total Public Shareholding (B)= (B)(1)+(B)(2)+ (B)(3)	11,941	1,70,84,088	0	0	1,70,84,088	29.03	1,70,84,088	0	1,70,84,088	29.03	0	29.03	0	0	NA	1,48,64,848





<u>Table IV</u>: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category of shareholder (I)	PA N (II)	Nos. of shar ehol ders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlyin g Depositor y Receipts (VI)	Total nos, shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Nun				No. of Shares Underlyin Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares (XII)		pl or end	umber of Shares edged or therwise cumbered XIII)	Number of equity shares held in demateri alized form (XIV)
			24						No of	Voting?	Rights	Total as a % of (A+B +C)			No.	as a % of total Share held	N o.	as a % of total shares held	
									class X	class	total								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name of DR Holder (if available)																NA		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(a)	Name(xyz)																		
	Total Non-Promoter- Non public shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0

